

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231
on August 20, 2001

Date of Deposit

Gregory M. Zinkl, Ph.D.

Name of applicant, assignee or
Registered Representative

Signature

Date of Signature

Case No. 10716/4

Genentech No. P1776R2 and Cura-66

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mary E. Gerritsen et al.

Serial No.: 09/684,458

Filed: October 5, 2000

For: NOVEL POLYPEPTIDES, THEIR NUCLEIC
ACIDS, AND METHODS FOR THEIR USE
IN ANGIOGENESIS AND
VASCULARIZATION

Attention: Manager,
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated April 2, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

Genentech No. P1776R2 and Cura-66

- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity

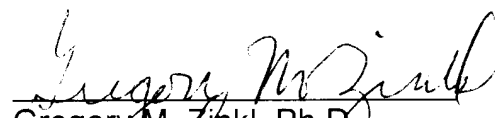
| | <u>Extension Months</u> | <u>Other Than Small Entity</u> | <u>Small Entity</u> |
|-------------------------------------|-----------------------------|------------------------------------|---------------------|
| <input type="checkbox"/> | One Month | \$110 | \$55 |
| <input type="checkbox"/> | Two Months | \$390 | \$195 |
| <input checked="" type="checkbox"/> | Three Months | \$890 | \$445 |
| <input type="checkbox"/> | Four Months | \$1,390 | \$695 |
| <input type="checkbox"/> | Five Months | \$1,890 | \$945 |

Fee Payment

- ☒ Attached is a check for \$890 for the Petition fee.
- ☐ Charge Petition fee to Deposit Account No. 23-1925. A duplicate copy of this Petition is attached.
- ☒ Charge any additional fee required or credit for any excess fee paid to Deposit Account No. 23-1925. A duplicate copy of this Petition is attached.

Respectfully submitted,


Dated: August 20, 2001


 Gregory M. Zinkl, Ph.D.
 Registration No. P-48,492
 Agent for Applicants

BRINKS HOFER GILSON & LIONE
 P.O. BOX 10395
 CHICAGO, IL 60610
 (312)321-4200

Rev. Oct.-00
 Document2

SECTOR 41

| | | | | |
|--|--|-----------------------|--------------------------------|---|
|  | | TRANSMITTAL LETTER | | Case No. 10716/4 (P1776R2 and Cura-66) |
| | | Serial No. 09/684,450 | Filing Date October 5, 2000 | Examiner Unknown |
| Inventor(s) Mary E. Gerritsen et al. | | | | |
| Title of Invention NOVEL POLYPEPTIDES, THEIR NUCLEIC ACIDS, AND METHODS FOR THEIR USE IN ANGIOGENESIS AND VASCULARIZATION | | | | |

TO THE COMMISSIONER FOR PATENTS

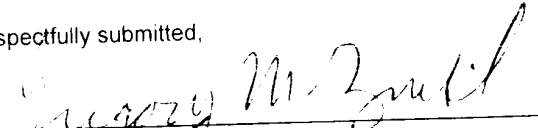
Transmitted herewith is a Response to Notice to File Missing Parts; copy of Notice to File Missing Parts; Declarations; check in the amount of \$130.00; Petition and Fee for Extension of Time; check for \$890.00 for the extension fee for 3 months; Powers of Attorney; Change of Correspondence Address and Change of Attorney Docket Number and return post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☒ Petition for a 3 month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

| | | | | Small Entity | | or | Other Than Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|-----------------|-----------|-------------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | Rate | Add'l Fee |
| Total | | Minus | | | x \$9= | | x \$18= | |
| Indep. | | Minus | | | x 40= | | x \$80= | |
| First Presentation of Multiple Dep. Claim | | | | | + \$135= | | + \$270= | |
| | | | | | Total add'l fee | \$ | Total add'l fee | \$ |

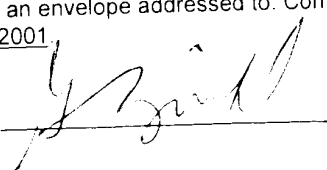
- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☒ Checks in the amount of \$130.00 and \$890.00 to cover the fees are enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


 Gregory M. Zinkl, Ph.D.
 Registration No. P-48,492
 Agent for Applicants

BRINKS HOFER GILSON & LIONE
 P.O. BOX 10395
 CHICAGO, ILLINOIS 60610 - (312) 321-4200

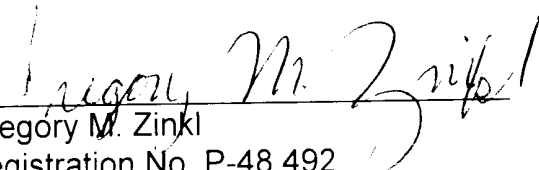
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on August 20, 2001.

Date: 8/20/01 Signature: 

- ☐ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☐ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$130.00 for:
- ☐ Filing Fee of \$_____.
- ☒ Surcharge of \$130.00.
- ☐ Additional Claim Fees of \$_____.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Check for \$890.00 for three (3) month Extension of Time.
- ☒ Other: Change of Correspondence Address and Change of Attorney Docket Number.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: August 20, 2001


Gregory M. Zinkl
Registration No. P-48,492
Agent for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/684,458 | 10/05/2000 | Mary E. Gerritsen | P1776R2 |

GENENTECH INC
Attn: Timothy R Schwartz Ph.D.
1 DNA Way
South San Francisco, CA 94080-4990

FORMALITIES LETTER



OC000000005924194

Date Mailed: 04/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE